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November 14, 2001

Box NO FEE  
Commissioner for Patents  
Washington, D.C. 20231

Re: Application No.: 09/919,436  
Filing Date: 7/31/01  
Attorney Docket No.: IDF 1541 (4000-02100)

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Sir:

Enclosed are the following documents for filing:

1. Information Disclosure Statement;
2. PTO Form 1449 and citations;
3. Acknowledgement postcard; and
4. This transmittal letter.

Please date-stamp the acknowledgment postcard and return it to the undersigned to confirm receipt of the above documents.

Thank you for your assistance in this matter.

Best regards,

Rodney B. Carroll

RBC/tr  
Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shrikant Jannu, et al.  
Serial No.: 09/919,436  
Filed: July 31, 2001  
For: Middleware Brokering System

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GROUP ART UNIT: 2152

EXAMINER: Not Yet Known

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I hereby certify that this correspondence and PTO Form 1449 with citations attached, is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Box NO FEE, Commissioner for Patents, Washington, D.C. 20231 on

*November 14, 2001*  
(Date of Deposit)

*Tessa Gao*

**INFORMATION DISCLOSURE STATEMENT**

Atty. Dkt. No.: IDF 1541 (4000-02100)

Commissioner:

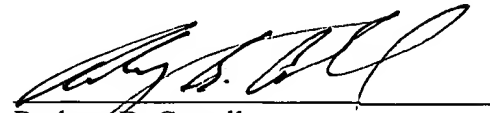
This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicant is aware. A copy of each patent and publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicant hereby reserves the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and that

situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

Respectfully submitted,

Date: 11-14-01



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FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. <b>IDF 1541</b>	SERIAL NO. <b>09/919,436</b>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT FOR: <b>Middleware Brokering System</b>		APPLICANTS: <b>Shrikant Jannu, et al.</b>	
		FILING DATE: <b>07/31/01</b>	GROUP <b>2152</b>



U. S. PATENT DOCUMENTS													
Examiner Initial		Patent Number							Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION														
			Document Number						Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
													Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)		
AA		IBM MQSeries, "MQSeries: Message Oriented Middleware," May 23, 2001, pgs. 1-5, <a href="http://www-4.ibm.com/software/ts/mqseries/library/whitepapers/mqover/">www-4.ibm.com/software/ts/mqseries/library/whitepapers/mqover/</a>
AB		Message Oriented Middleware and MQSeries, "Xinetica White Paper," May 23, 2001, pgs. 1-12, <a href="http://www.xinetica.com/tech_explained/technical/mq_series/wp_mq_series.html">www.xinetica.com/tech_explained/technical/mq_series/wp_mq_series.html</a>
AC		1999 Software Symposium Title, "The Architecture of the READY Event Notification Service", January 3, 2001, pgs. 1-8, <a href="http://www.research.att.com/~ready/omniNotify/PAPERS/ss99ready.html">www.research.att.com/~ready/omniNotify/PAPERS/ss99ready.html</a>
AD		Notification Service, "Joint Revised Submission", August 2, 1999, pgs. 1-208, <a href="http://ftp.omg.org/pub/docs/telecom/99-07-01.pdf">www.ftp://ftp.omg.org/pub/docs/telecom/99-07-01.pdf</a>
Examiner		Date Considered
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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